



'Ensuring Investor Protection'

## THE SECURITIES AND EXCHANGE COMMISSION

### **PUBLIC NOTICE ON EXTENSION OF DEADLINE FOR SUBMISSION OF INVESTMENT CLAIMS WITH FUND MANAGEMENT COMPANIES WHOSE LICENCES HAVE BEEN REVOKED**

#### **NOTICE NO. SEC/PN/017/12/2019**

Pursuant to Section 122 (2) of the Securities Industry Act, 2016 (Act 929), the Securities and Exchange Commission (SEC) on **8<sup>th</sup> November 2019** revoked the licences of 53 Fund Management Companies (“FMCs”) after which investors were invited to submit their claims from **18<sup>th</sup> November, 2019**.

The SEC wishes to extend its appreciation to all stakeholders for their cooperation, patience and support during this period. With the exception of initial challenges which were addressed following helpful feedback from stakeholders, the exercise has been well coordinated.

The general public is hereby informed that the deadline for submission of claims has been extended from **Wednesday, 18<sup>th</sup> December, 2019** to **Wednesday, 15<sup>th</sup> January, 2020**. All investors who are yet to submit claims are advised to do so within this period

Please note that our agents will be available **only at the CBG branches designated in Appendix A** to receive claims from investors during the extension period. Investors are advised to visit the **designated areas** at the CBG branches with valid ID cards, evidence of investment claims and complete the forms.

The SEC will issue further communication on timelines for completion of validation and commencement of payments. Acknowledgement of receipts of submissions is on-going.

To facilitate the process, the Commission has made available, forms at [https://sec.gov.gh/claims\\_form.pdf](https://sec.gov.gh/claims_form.pdf) for download and completion.

**All Public Holidays will be observed.**

Investors may send email to the Agent at [revocations@sec.gov.gh](mailto:revocations@sec.gov.gh) or call the following numbers for any clarification:

0302963188  
0800100065

**BY ORDER OF  
SECURITIES AND EXCHANGE COMMISSION  
DATED THE 20<sup>TH</sup> DECEMBER, 2019**

**APPENDIX A: CBG BRANCHES FOR CLAIM SUBMISSIONS DURING  
EXTENSION PERIOD**

	<b>REGION</b>	<b>CBG BRANCH</b>
1	Greater Accra	Kokomlemle, Accra
		Gulf House, South Legon, Accra
2	Ashanti	Harper Road, Kumasi
3	Central	University of Cape Coast
		Dunkwa-on-Offin
4	Western	Market Circle, Takoradi
		Tarkwa
5	Brong Ahafo	Sunyani
6	Volta	Ho
7	Upper East, Upper West & Northern	Tamale