



'Ensuring Investor Protection'

## THE SECURITIES AND EXCHANGE COMMISSION

# DIRECTIVE ON PAYMENTS TO THE SECURITIES AND EXCHANGE COMMISSION, GHANA (NOTICE NO. SEC/DIR/001/04/2020)

The Securities and Exchange Commission (hereinafter referred to as "SEC") is the statutory body mandated by the Securities Industry Act 2016 (Act 929) to promote the orderly growth and development of an efficient, fair and transparent securities market in which investors and the integrity of the market are protected.

In an effort to digitize and streamline its operations, with effect from **Wednesday 8<sup>th</sup> April 2020**, all payments, including licensing, renewals, operator levies and all other payments should be made directly into the Commission's bank account detailed below:

**Bank Name:** Ecobank Ghana Limited.  
**Account Name:** Securities and Exchange Commission  
**Account Number:** 1441000144748  
**Bank Branch:** Head Office

Market Operators are to send the payment advice to [financecapital@sec.gov.gh](mailto:financecapital@sec.gov.gh) and copy their relevant departments per the table below:

<b><i>Market Operator</i></b>	<b><i>Email</i></b>
Fund Managers, Mutual Funds, Unit Trusts, Custodians, Trustees	fundsec@sec.gov.gh
Broker-Dealers, Investment Advisers, Primary Dealers	brokerdealers@sec.gov.gh
Securities Exchanges, Depositories, Registrars	exmarkets@sec.gov.gh
Securities issuers, Issuing Houses, Reporting Accountants and Auditors	issuers@sec.gov.gh
AML	auditrisk@sec.gov.gh

This Directive is issued pursuant to sections 3, 14, 15 and 209 of the Securities Industry Act, 2016 (Act 929)

**BY ORDER OF**

**SECURITIES AND EXCHANGE COMMISSION.**

**DATED THE 8<sup>th</sup> APRIL, 2020**